



**3 Transfer Instructions**

Please transfer assets from the account listed in Section 2 to PFPC Trust Company. Please make check payable to *PFPC Trust Company as Custodian for Managers Funds IRA*. Liquidate account and transfer cash proceeds according to the following instructions:

- Transfer the total amount in my account.
- Transfer \$ \_\_\_\_\_ and retain the balance.

**4 Investment Selection/Account Type**

Type of Account you are transferring to:  Traditional IRA  SEP-IRA\*  Roth IRA  Rollover IRA

\*If establishing a new account, please complete Form 5305-SEP.

**Transfer to new or existing Managers account:**

- New (Please enclose completed IRA Account Application).** Provide us with your new fund selection(s) and transfer amount. *(You may invest only in a fund for which you have received a prospectus. See enclosed Fund Overview for Fund numbers and investment minimums. If you need more space, provide the information on a separate sheet.)*

Fund Number	Fund Name	Investment Amount
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
<b>TOTAL</b>		\$ <input type="text"/>

By signing this transfer form, I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus for each fund I select. I understand that the requirements for a valid transfer to a Traditional IRA, SEP-IRA, Roth IRA or SIMPLE IRA are complex and that I have the responsibility for complying with all requirements and for the tax results of any such transfer.

- Existing Managers IRA Account(s).** Provide us with your existing account number and transfer amount:

Account Number	Fund/Investment Amounts
<input type="text"/>	\$ _____
	\$ _____
	\$ _____

*Note: If you do not know the exact amount of your investment at this time, please approximate and indicate "approx."*

**5 Please Complete This Section Only if Your IRA is Currently Invested in a CD**

**Timing of transfer (IRA CDs only):**

- Process at date of maturity
- Process immediately *(I am aware of any penalties that may apply)*

Date of Maturity

/  /

Month      Day      Year

Contact Name of Financial Institution

Telephone Number of Financial Institution

-  -

Please send this Transfer Request to Managers Funds at least two weeks prior to the maturity date of your IRA CD. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity.

To resigning Trustee or Custodian: Managers acceptance on page 3 of this form.

**6 Please Sign Here to Authorize the IRA Transfer**

**Important:** Your financial institution may require your signature to be guaranteed. Please call them for requirements. If required, signature guaranteed by:

**Medallion Signature Guarantee**

Please affix  
Medallion Signature Guarantee Stamp

**Authorization to your current Trustee or Custodian to transfer your IRA to Managers.**

I authorize the Transfer of Assets as noted above to my Managers IRA and authorize Managers and PFPC Trust Company to process this request on my behalf. **I understand it is my responsibility to assure the prompt Transfer of Assets by the current Custodian.**

Signature of IRA Participant

Date

**7 Instructions to the Shareholder (please read carefully)**

This form will be used by Managers to initiate a Transfer of Assets based on your request from an existing retirement plan account as designated on this form to your Traditional, Roth, Rollover, or SEP IRA at Managers. Please remember that a TRANSFER OF ASSETS can only occur between the SAME type of retirement plans, example IRA to IRA. For certificates of deposit, please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and the appropriate new account application for your IRA (if required) to:

**Direct Accounts for Managers**

**First Class Mail:**

Managers  
c/o PNC Global Investment Servicing  
P.O. Box 9769  
Providence, RI 02940

**Overnight Express:**

Managers  
c/o PNC Global Investment Servicing  
101 Sabin Street  
Pawtucket, RI 02860  
800.548.4539

**8 Instructions to Resigning Custodian/Transfer Agent**

Please liquidate the Participant's account(s) as specified in section 3. **Issue a check payable as indicated in section 3 and mail along with any other instructions to:**

**Direct Accounts for Managers**

**First Class Mail:**

Managers  
c/o PNC Global Investment Servicing  
P.O. Box 9769  
Providence, RI 02940

**Overnight Express:**

Managers  
c/o PNC Global Investment Servicing  
101 Sabin Street  
Pawtucket, RI 02860  
800.548.4539

**9 To Be Completed by PFPC Trust Company—Acceptance by PFPC Trust Company as Custodian**

Managers IRA Account Number

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For the Benefit of

PFPC Trust Company accepts its appointment as Custodian of the above referenced IRA and has established an IRA as indicated by the shareholder on the front of this form under the **Internal Revenue Code section 408(a) for IRAs** under the shareholder's name in Managers. Managers and PFPC Trust Company, as Custodian, cannot accept assets other than cash. Upon receipt of the check, the proceeds will be credited to the named Participant's account.

Accepted by PFPC Trust Company, as Custodian for Managers IRAs.

Authorized Representative of PFPC Trust Company

Date